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Attorney Docket No. 24301



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

IVAN TOMKA  
Dieter Wolfgang ENGEL  
Erich BROCKER  
Rico MENARD

Attorney: GMN

Serial No. 09/606,219

Filed: June 29, 2000

For: A METHOD FOR MANUFACTURING A SHAPE BODY CONTAINING A  
STARCH, A HOMOGENISED MASS CONTAINING STARCH AND A DEVICE  
FOR MANUFACTURING A SOFT CAPSULE

TRANSMITTAL LETTER

Commissioner for Patents  
Washington, DC 20231

Sir:

Submitted herewith for filing in the U.S. Patent and  
Trademark Office is the following:

- (1) Transmittal Letter
- (2) Request for corrected Official Filing Receipt
- (3) Copy of Official Filing Receipt with corrections marked in  
red ink.

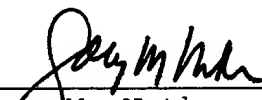
Please charge any required fee, or credit any overpayment,  
in connection with this matter to deposit Account No. 14-0112.

Respectfully submitted,

Date: December 27, 2000

NATH & ASSOCIATES PLLC  
1030 15<sup>th</sup> St. N.W., 6<sup>th</sup> Floor  
Washington, DC 20005  
Tel: (202) 775-8383  
Fax: (202) 775-8396  
GMN/lgv

By:

  
Gary M. Nath  
Registration No. 26,965  
Customer No. 20,529

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Attorney Docket No. 24301

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

IVAN TOMKA  
Dieter Wolfgang ENGEL  
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STARCH, A HOMOGENISED MASS CONTAINING STARCH AND A DEVICE  
FOR MANUFACTURING A SOFT CAPSULE

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Commissioner of Patents  
Washington, D.C. 20231

Sir:

1. Attached is a copy of the Official Filing Receipt received from the USPTO in the above application for which issuance of a corrected filing receipt is respectfully requested.

2. There is an error with respect to the following data, which is:

X incorrectly entered

\_\_\_\_\_ omitted

Error in:

Correct Data:

\_\_\_\_\_ Applicant's name

\_\_\_\_\_ Applicant's address

X Title

Verfahren zum herstellen  
enies stark enthaltenden  
formkorpers homogenisierte  
starke enthaltende masse

A METHOD FOR MANUFACTURING  
A SHAPE BODY CONTAINING  
A STARCH, A HOMOGENISED  
MASS CONTAINING STARCH AND

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und vorrichtung zum herstellen  
enier weichkapsel

A DEVICE FOR MANUFACTURING  
A SOFT CAPSULE

\_\_\_\_ Filing Date  
\_\_\_\_ Serial Number  
\_\_\_\_ Foreign/PCT Application Re:  
\_\_\_\_ Other

3. X The correction(s) is/are not due to any error by applicant and no fee is due.

4. \_\_\_\_ At least one of the above corrections is due to applicant's error and the fee therefor, under 37 CFR §1.19(h), of \$25.00 is paid as follows:

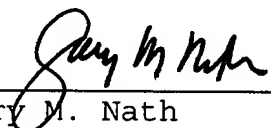
\_\_\_\_ Enclosed is Check No. \_\_\_\_ for \$25.00

\_\_\_\_ Charge \$25.00 to Deposit Account No. 14-011

Should any questions or comments arise in connection with the filing of this Request, Applicant respectfully requests a telephone call to the undersigned attorney at the telephone number as shown.

Respectfully submitted,

NATH & ASSOCIATES PLLC

  
\_\_\_\_\_  
Gary M. Nath  
Registration No. 26,965  
Customer No. 20,529

Date: December 27, 2000

NATH & ASSOCIATES PLLC  
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Sixth Floor  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/606,219	06/29/2000	1732	568	24301	3	23	3

20529  
NATH AND ASSOCIATES  
1030 FIFTEEN STREET  
6TH FLOOR  
WASHINGTON, DC 20005

## FILING RECEIPT



\*OC000000005607181\*

Date Mailed: 12/08/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Ivan Tomka, Zollikon, SWITZERLAND;  
Dieter Wolfgang Engel, Zuzwil, SWITZERLAND;  
Erich Bocker, Kirchberg, SWITZERLAND;  
Rico Menard, Zollikon, SWITZERLAND;

## Continuing Data as Claimed by Applicant

## Foreign Applications

EUROPEAN PATENT OFFICE (EPO) 99811071.2 11/19/1999

If Required, Foreign Filing License Granted 08/25/2000

\*\* SMALL ENTITY \*\*

## Title

**A METHOD FOR MANUFACTURING A SHAPE BODY CONTAINING A STARCH, A HOMOGENISED MASS CONTAINING STARCH AND A DEVICE FOR MANUFACTURING A SOFT CAPSULE**  
Verfahren zum herstellen eines starke enthaltenden formkorpers homogenisierte starke enthaltende masse und vorrichtung zum herstellen einer weichkapsel

## Preliminary Class

264

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Data entry by : SCOTT, JOSEPH

Team : OIPE

Date: 12/08/2000



**LICENSE FOR FOREIGN FILING UNDER**  
**Title 35, United States Code, Section 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
 Office of Initial Patent Examination  
 Customer Service Center  
 Washington, DC 20231

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## UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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Bib Data Sheet

<b>SERIAL NUMBER</b> 09/606,219	<b>FILING DATE</b> 06/29/2000 <b>RULE</b> -	<b>CLASS</b> 264	<b>GROUP ART UNIT</b> 1732	<b>ATTORNEY DOCKET NO.</b> 24301
<b>APPLICANTS</b> Ivan Tomka, Zollikon, SWITZERLAND; Dieter Wolfgang Engel, Zuzwil, SWITZERLAND; Erich Bocker, Kirchberg, SWITZERLAND; Rico Menard, Zollikon, SWITZERLAND;				
<b>** CONTINUING DATA *****</b>  <b>** FOREIGN APPLICATIONS *****</b> EUROPEAN PATENT OFFICE (EPO) 99811071.2 11/19/1999				
<b>IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 08/25/2000</b>		<b>** SMALL ENTITY **</b>		
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance Verified and Acknowledged _____ Examiner's Signature _____ Initials _____	<b>STATE OR COUNTRY</b> SWITZERLAND	<b>SHEETS DRAWING</b> 3	<b>TOTAL CLAIMS</b> 23	<b>INDEPENDENT CLAIMS</b> 3
<b>ADDRESS</b>  20529				
<b>TITLE</b> Method for manufacturing a shape body containing a starch, a homogenised mass containing starch and a device for manufacturing a soft capsule				
<b>FILING FEE RECEIVED</b> 568	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	

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